

**LOCAL DWI GRANT PROGRAM STATEWIDE EVALUATION
FINAL REPORT**

**Prepared for:
The State of New Mexico
Department of Finance and Administration
Local Government Division**

**Prepared by:
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Introduction

This report is the final deliverable in our contract with the New Mexico Department of Finance Administration's (DFA) Local Government Division (LGD). The Institute for Social Research (ISR) entered into a two-year contract with the Department of Finance and Administration's Local Government Division in July 2002 to provide evaluation research services and technical assistance to the Local DWI Grant Program administered by the LGD. The Scope of Work included numerous tasks and during the two-year contract numerous reports were delivered to DFA.

This report lists and discusses each section within the contracted scope of work. As sections of the contract were completed the deliverable was given to DFA in the form of a hard copy and soft copy report. For this reason the discussion following sections where the deliverable has already been provided is brief and the deliverable is cited only. In some cases the task was not specifically evaluation research and the deliverable did not include a report. For example, we were required to meet as needed with the Evaluation Project Advisory Committee that was to be coordinated by the LGD. The brief discussion attached to this section describes the committee and its role in the project this contracting period.

The Local DWI Program and DFA

In 1993 the Legislature of the State of New Mexico enacted the Local DWI Grant Program Act that established a local DWI grant in the state treasury and mandated the Local Government Division of DFA administer the fund. Further, the legislation established that no more than five percent of any appropriation in the grant fund in any fiscal year be expended for administration of the fund. The legislation also created broad criteria for awarding DWI grants to local communities and established criteria for a statewide DWI Grant Council, which included membership and the frequency of meetings. The legislation also mandated the imposition and rate of the liquor excise tax, the powers and duties of the LGD, county DWI Planning Councils, and county DWI plans. Amendments to the Act were passed in the 1997, 2000, 2001 and 2003 Legislative sessions.

The Local DWI Program administered under the Local Government Division within the Department of Finance Administration is responsible for the program statewide. The Program consists of two funding sources. The first funding source is a distributed allocation based on a percentage of gross receipts sales tax from each county and the number of alcohol related injury crashes. Second, grants are awarded to counties on a competitive basis. These grants are made to municipalities or counties for new or existing, innovative or model programs, services or activities to prevent or reduce the incidence of DWI, alcoholism and alcohol abuse. During the course of our two-year contract funding could be applied to any of seven components. Recently a domestic violence component was added and because it was not part of our evaluation it is not included here.

- Enforcement – means any program or activity improving law enforcement approaches to prevent or deter DWI behavior, such as surveillance for underage drinkers by special patrols in neighborhoods or businesses where alcohol can be purchased, law enforcement overtime, and training
- Prevention – means activities conducted to involve youth, business and community leaders in designing drug and alcohol free recreation/social alternative activities; promotion of community awareness and change for DWI prevention; and in the development of training meetings and conferences that build youth skills, fund raising/securing resources skills, leadership and multi-cultural outreach.
The prevention program may follow the New Mexico Teens Needing Teens model and other science based prevention models. Teen court, established through the court and juvenile probation system, focuses on the disruption and cessation of juvenile alcohol-related behaviors including DWI, minor in possession of alcohol, minor allowing self to be served, open alcohol container in vehicle, etc.
- Screening – means the use of empirically based procedures, such as standardized tests, self-report techniques and interviews for identifying at the judicial stage, DWI offenders who have alcohol or drug-related problems/consequences, who are at risk for such difficulties or who are at high risk of DWI recidivism. Screening measures are not designed to explain the nature and extent of such problems or to substitute for “assessments” to aid in treatment planning.
- Outpatient Treatment – means an array of individual, family, group, or social program or activity alternatives directed to intervene and address DWI, alcohol problems and alcohol dependence, alcoholism or alcohol abuse. Treatment seeks to reduce the consumption of alcohol, to support abstinence and recovery from drinking alcohol, and to improve physical health, family and social relationships, emotional health, well-being, and general life functioning.

- Intensive Supervision – means any program which strengthens tracking, follow-up, or other supervised probation type efforts with DWI offenders to assist courts in the monitoring of offenders for compliance with court sentence recommendations
- Coordination, Planning and Evaluation – means a position held by a full time DWI professional responsible for oversight of all DWI project efforts: monitoring all grant activities; planning and funding requests; development, maintenance and reporting of the offender profile and tracking system; evaluation of the grant project progress and impact; submission of all required financial and program reports regarding grant activities; staffing the Local DWI Planning Council; and public information, awareness and other such activities.
- Alternative Sentencing – means a program that provides the courts with a sentence alternative to traditional incarceration for the DWI offender while providing access to intervention services in an environment which is consistent with the “least restrictive” means possible (e.g., incarceration/treatment, non-residential treatment, intensive supervision)

The next section of this report focuses on the contracted scope of work. Each section within the scope of work is listed and discussed.

Scope of Work

As noted above each section within the scope of work is listed and followed by a brief discussion.

Establish a minimum data set for the collection of program level and individual level data for program evaluation.

During the course of the two-year contract numerous meetings were held with LGD staff, DOH/EPI staff, various County DWI Coordinators, and ADE Inc. staff to discuss the minimum data set. The majority of these meetings occurred in the first year of the contract. During these meetings the variables were discussed and several drafts of the minimum data set were provided to LGD. The final draft of the minimum data set was provided to LGD in September 2003. Since the final draft was provided our involvement has been minimal and has consisted of updates from LGD on the progress of the development of the minimum data set by ADE Inc.

Review the standardization of reporting of treatment services for evaluation and program monitoring.

During the course of the contract discussions were held with LGD staff regarding the reporting of treatment services and program monitoring. It was decided to propose minimum treatment standards and performance measures to meet the obligations of this point within the scope of work. Separate reports on minimum treatment standards and performance measures were provided to LGD in March 2004 and December 2003, respectively.

Performance measures.

Discussions with LGD were held to develop performance measures and the report was completed in December 2003 and delivered to LGD. This report is also included as a deliverable in the previous task.

Contractor will provide written quarterly reports with a description of any work accomplished to date and difficulties encountered; an annual report is to be submitted not later than June 30, 2003 and June 30, 2004.

As obligated quarterly reports have been provided to LGD. This final report serves as the final quarterly report.

The Contractor will reformat the ethnicity study.

This study begun in the first two-year contract cycle was completed and the report was reformatted and provided to LGD in February 2003.

The Contractor will conduct an analysis of trends in alcohol-related crashes/fatalities/injuries for years 1990-2000 for large counties and others in logical cultural/geographic groupings 17± total.

This study was completed and the report was provided to LGD in August 2003 as a full report and a report in brief.

Report on progress of the evaluation process at the following meetings:

Implementation Meeting, Association of Counties, and the Allocation Meeting.

Meetings will be held in different locations of the state and will provide counties with insight about the knowledge ISR has gained from looking at local files and evaluation plans. Meetings will allow for county feedback relative to the evaluation process. Contractor will inform DFA Local DWI Program of any additional information required to complete a statewide evaluation.

As requested by LGD we reported on the progress of the evaluation at meetings.

Review and critique evaluation plans submitted by County DWI programs. Provide a brief report to LGD based on critique of evaluation plans and conduct regional evaluation trainings.

During the course of the contract we were provided the evaluation plans for each county with the exception of San Juan and San Miguel. These plans were reviewed and critiqued

and a brief report was provided to LGD in March 2004. Regional evaluation trainings were not held.

Assist DFA staff in the standardization of record keeping practices for DWI offender client files and screening and tracking records in an attempt to establish a statewide tracking system.

This task was completed by providing the final draft of the minimum client level data set, the report of performance measures, the minimum treatment standards reports, and the minimum county level data set.

Collaboratively with the Department of Health/Office of Epidemiology conduct an outcome study. Use DWI intervention data with control groups that will examine the various interventions' impact on recidivism. A jointly prepared research design and methodology will be presented to DFA and the Evaluation Advisory Committee as scheduled by DFA. A jointly authored outcome report will be issued.

This study and a jointly authored report was completed and provided to LGD in November 2003. In addition, a separate report and report in brief were provided to LGD in November 2003 by the ISR.

Conduct a DWI offender flow analysis and interpretation of Bernalillo County data for the years 1997 through 2001. A report will be generated which will document the number of arrests, convictions, and ADE screenings in Bernalillo county for the years under study. The report will identify the number of clients who were referred to Albuquerque Metro Court Intake, the number of clients who were referred to treatment, and number of clients who completed the assigned treatment for each of the years under study.

This study and report was completed and provided to LGD in the form of a full report and report in brief in August 2003.

Meet as needed with the Evaluation Advisory Committee to be coordinated by LGD.

The last meeting we attended that was coordinated by LGD was in September 2002. Since that meeting we requested several times that LGD schedule a meeting but these meetings were never scheduled. We believe it was a mistake to not have the Evaluation Advisory Committee meet on a quarterly basis.

Provide a comprehensive final evaluation report to procuring agency and State DWI Grant Council.

This report fulfills this obligation.